



Filing ID #10025920

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Hon. Judy Chu
Status: Member
State/District: CA27

FILING INFORMATION

Filing Type: Annual Report
Filing Year: 2018
Filing Date: 05/15/2019

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Athene Annuity and Life Company [FN] DESCRIPTION: Rollover to Vanguard IRA		None	Tax-Deferred		<input checked="" type="checkbox"/>
California Public Employees Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
California State Teachers Retirement System [DB]		Undetermined	defined benefit	\$15,001 - \$50,000	<input type="checkbox"/>
California State Teachers Retirement System [PE] DESCRIPTION: retirement buyout	SP	\$1,001 - \$15,000	retirement buyout	\$5,001 - \$15,000	<input checked="" type="checkbox"/>
Chase Bank Certificate of Deposit [BA]	SP	\$250,001 - \$500,000	Interest	\$1 - \$200	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #1, 25% [RP] LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II		\$250,001 - \$500,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒		\$250,001 -	Rent	\$5,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rental Property #2, 25% [RP] LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II		\$500,000		\$15,000	
Chu-Lim Investments LLC ⇒ Rental Property #3, 25% [RP] LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #4, 25% [RP] LOCATION: Santa Clara, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II		\$250,001 - \$500,000	Rent	\$5,001 - \$15,000	<input type="checkbox"/>
Chu-Lim Investments LLC ⇒ Rental Property #5, 25% [RP] LOCATION: San Jose, CA, US DESCRIPTION: Inherited from parents' estate, under Judy M. Chu Trust & J.M. Chu Irrevocable Trust II		\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	<input type="checkbox"/>
Citibank Savings Acct [BA]		\$15,001 - \$50,000	Interest	\$1 - \$200	<input type="checkbox"/>
Citibank IRA--Day to Day Savings Acct [IH]	SP	\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Citibank- J.M. Chu Irrevocable Trust II [BA] DESCRIPTION: Inherited from parents estate.		\$1,001 - \$15,000	None		<input type="checkbox"/>
East West Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Fidelity Charitable Account- Judy Chu Fund [OT] DESCRIPTION: Inherited from parents' estate.		\$15,001 - \$50,000	None		<input type="checkbox"/>
Lincoln Financial Group ⇒ 403B- Delaware VIP REIT [PE] DESCRIPTION: Rolled over into Vanguard IRA		None	Tax-Deferred		<input checked="" type="checkbox"/>
Lincoln Financial Group ⇒ 403B-Delaware VIP Diversified Income [PE] DESCRIPTION: Rolled over into Vanguard IRA		None	None		<input checked="" type="checkbox"/>
Lincoln Financial Group ⇒ American Legacy Fixed Annuity [FN] DESCRIPTION: Inherited annuity required to be cashed-out in 2018.		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Lincoln Financial Group ⇒ American Legacy US-Large Blend-Growth Income Fund [PE]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Inherited annuity required to be cashed out in 2018					
LSW-Life Insurance Co of the Southwest 403B [PE]		None	Tax-Deferred		<input checked="" type="checkbox"/>
DESCRIPTION: Rolled over into Vanguard IRA					
New Omni Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Preferred Bank Certificate of Deposit [BA]	SP	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Savings Plus ⇒ 401K International Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Large Cap Socially Responsible Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Mid Cap Fund [PE]		\$50,001 - \$100,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 401K Small Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 International Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Socially Responsible Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Savings Plus ⇒ 457 Large Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Large Cap Index Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Mid Cap Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund [PE]		\$15,001 - \$50,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Short Term Investment Fund- Cash [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
Savings Plus ⇒ 457 Small Cap Fund [PE]		\$1,001 - \$15,000	Tax-Deferred		<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPX W MAR 28 2100 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 11 2200 PUT [OT] DESCRIPTION: PUT		\$1 - \$1,000	Capital Gains, Excepted/Blind Trust	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 13 2175 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 16 2225 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 18 2200 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 2 2175 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW APR 6 2100 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW APR 9 2200 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW FEB 16 2100 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW FEB 26 2250 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 10 2450 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 12 2100 PUT [OT]		None	Capital Gains	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 12 2435 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 12 2450 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 12 2450 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 19 2450 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW JAN 31 2100 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPXW MAR 14 2275 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ SPXW MAR 2 2250 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW MAR 2 2250 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW MAR 26 2300 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW MAR 29 2150 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ SPXW MAR 5 2325 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT AUG 24 122.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 20 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 20 124 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 27 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT APR 27 123.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT AUG 10 124.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TLT AUG 10 125 CALL [OT] DESCRIPTION: CALL				\$1,000	
TD Ameritrade Investment Account ⇒ TLT AUG 17 123 CALL [OT] DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT AUG 3 125 CALL [OT] DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT DEC 14 116 PUT [OT] DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT DEC 21 115.5 PUT [OT] DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT DEC 28 119.5 PUT [OT] DESCRIPTION: PUT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT DEC 7 117 PUT [OT] DESCRIPTION: PUT	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT FEB 16 127 CALL [OT] DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT FEB 16 127 CALL [OT] DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT FEB 2 128 CALL [OT] DESCRIPTION: CALL	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT FEB 23 117.5 PUT [OT] DESCRIPTION: PUT	None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>	
TD Ameritrade Investment Account ⇒ TLT FEB 23 123 CALL [OT]	None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT FEB 9 127 CALL [OP]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT FEB 9 128 CALL [OP]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 12 128.5 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 12 129.5 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 19 129.5 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 26 121.5 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT JAN 26 129 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 5 128 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUL 13 123 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUL 20 123.5 CALL [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUL 20 124.5 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ TLT JUL 27 124 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUL 6 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 1 116 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 22 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 22 123.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 29 116 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 29 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT JUN 8 120.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 16 122 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 2 116.5 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 2 118 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TLT MAR 2 123 CALL [OT] DESCRIPTION: CALL				\$1,000	
TD Ameritrade Investment Account ⇒ TLT MAR 23 121 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 9 116 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 9 122 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAR 9 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 11 117 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 18 121.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 25 115.5 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT MAY 4 124 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT NOV 16 111 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT NOV 16 113 PUT [OT] DESCRIPTION: PUT		\$1 - \$1,000	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT NOV 16 113.5 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 117 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT NOV 2 112.5 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 113.5 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 23 113 PUT [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 23 113.5 PUT [OT]		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 9 117.5 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT OCT 19 113 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 19 116 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 19 120 CALL [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT OCT 26 114.5 PUT [OT]		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 26 115 PUT [OT]		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: PUT					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
TD Ameritrade Investment Account ⇒ TLT OCT 26 115 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT OCT 5 122 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SEP 14 122.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SEP 14 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SEP 21 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SEP 28 123 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SEP 28 123.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SPE 28 115.5 PUT [OT] DESCRIPTION: PUT		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ TLT SPE 7 124.5 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒ UVXY NOV 2 105 CALL [OT] DESCRIPTION: CALL		None	Capital Gains	\$201 - \$1,000	<input type="checkbox"/>
TD Ameritrade Investment Account ⇒		None	Capital Gains	\$201 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
UVXY OCT 19 100 CALL [OT] DESCRIPTION: CALL				\$1,000	
United Pacific Bank Certificate of Deposit [BA]	SP	\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
Vanguard IRA ⇒ Long-Term Bond Index Fund (VBLTX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard IRA ⇒ Total International Bond Index (VTABX) [PE]		\$15,001 - \$50,000	Tax-Deferred		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Allstate Corporation (ALL) [ST] DESCRIPTION: formerly under Wells Fargo Management		\$50,001 - \$100,000	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ American Airlines Group, Inc. (AAL) [ST] DESCRIPTION: formerly under Wells Fargo Management		None	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund VCADX [MF]		\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Discover Financial Services (DFS) [ST] DESCRIPTION: formerly under Wells Fargo management		None	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Extended Market Index VEXAX [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Federal Money Market Account [BA]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ FTSE All-World Ex-US Index VFWAX [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Global Natural Resources ETF- GNR [EF] DESCRIPTION: formerly under Wells Fargo Management		None	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Growth Index Fund (brokerage acct) VIGAX [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Growth Index Fund (individual acct) VIGAX [MF]					
Vanguard Personal Investment Account ⇒ International Business Machines Corporation (IBM) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: formerly under Wells Fargo management					
Vanguard Personal Investment Account ⇒ Invesco S&P Global Water Index ETF- CGW [EF]		None	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ iShares Nasdaq Biotechnology ETF- IBB [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Lands' End, Inc. (LE) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: formerly under Wells Fargo management					
Vanguard Personal Investment Account ⇒ Mid-Cap Index Fund VIMAX [MF]		\$15,001 - \$50,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Morgan Stanley (MS) [ST]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: formerly under Wells Fargo management					
Vanguard Personal Investment Account ⇒ Prime Money Market VMMXX [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Short Term Bond Index VBIRX [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Technology Select Sector SPDR- XLK [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Bond Index VTABX [MF]		\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Stock Index (brokerage acct) VTIAX [MF]		\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Stock Index (individual acct) VTIAX [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vaneck Vectors Agribusiness ETF- MOO [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard Personal Investment Account ⇒ Vanguard Materials ETF VAW [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Windsor II Mutual Fund VWNAX [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ American Funds Washington Mutual Investor Fund (VMFFX) [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Extended Market Index (VEXAX) [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ FTSE Social Index (VFTSX) [MF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Global ex-US Real Estate ETF (VNQI) [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ iShares MSCI EAFE Index Fund (EFA) [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Ishares Russell 1000 Growth ETF (IWF) [EF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Ishares Russell 1000 Value ETF (IWD) [EF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Ishares Russell 2000 ETF (IWM) [EF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Limited Term Tax Exempt (VMLUX) [MF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Parnassus Mid Cap Instl Cl (PFPMX) [MF]		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ SPDR S&P MidCap 400 (MDY) [MF]		None	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index (VTIAX) [MF]		None	Dividends	None	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index (VTIAX) [MF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Vanveck Vectors Global Alternative Energy ETF (GEX) [EF]		None	None		<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ American Funds Capital Income Builder Fund (CAIFX) [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate;formerly under Wells Fargo management					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Emerging Markets Stock Index VEMAX [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Extended Markets Stock Index VEXAX [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Federal Money Market Account [BA]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ FTSE All-World Ex-US Index VFWAX [MF]		\$250,001 - \$500,000	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ FTSE Social Index VFTSX [MF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Intermediate-Term Tax Exempt (VWIUX) [MF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ International Explorer VINEX [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Growth Index (IWF) [EF]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Inherited through parents estate. formerly under Wells Fargo management.					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 1000 Value Index (IWD) [EF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Inherited through parents estate. formerly under Wells Fargo management.					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ iShares Russell 2000 Index (IWM) [EF]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: Inherited through parents estate. formerly under Wells Fargo management.					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Ishares Russell Mid-Cap ETF (IWR) [MF]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DESCRIPTION: inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Limited Term Tax Exempt (VMLUX) [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Long-Term Tax Exempt Fund VWLUX [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Schwab Fundamental Intl Large Company Index SFNNX [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒		\$15,001 - \$50,000	Dividends	None	<input checked="" type="checkbox"/>




Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
SPDR S&P MidCap 400 (MDY) [EF]					
DESCRIPTION: formerly under Wells Fargo management					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ T. Rowe Price Dividend Growth Fund (PRDGX) [MF]		None	Dividends	\$201 - \$1,000	<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate. formerly under Wells Fargo management.					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Total International Stock Index VTIAX [MF]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Inherited through parents estate					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Vanguard Dividend Appreciation Index Fund (VDADX) [MF]		\$50,001 - \$100,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>
DESCRIPTION: Inherited through parents estate. Formerly under Wells Fargo management					
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Capital World Growth and Income Fund (WGIFX) [MF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Fund CEYIX [MF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Fidelity 500 Index Fund (FXSIX) [MF]		None	Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ IQ Hedge Multi-Strategy Tracker (QAI) [EF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ SPDR Dow Jones Global Real Estate (RWO) [EF]		None	None		<input checked="" type="checkbox"/>
Wells Fargo Bank Checking Account [BA]	SP	\$50,001 - \$100,000	Interest	\$1 - \$200	<input type="checkbox"/>
Zurich Advantage III ⇒ 403B Large Cap U.S. Stock DWS Gap Growth VA [PE]		None	None		<input checked="" type="checkbox"/>
DESCRIPTION: Rolled over into Vanguard IRA					
Zurich Advantage III ⇒		None	None		<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
403B Misc Fixed-DWS HIgh Income VIP [PE]					
DESCRIPTION: Rolled over into Vanguard IRA					
Zurich Advantage III ⇒ 403B-Balanced DWS Global Income Builder VIP [PE]					
DESCRIPTION: Rolled over into Vanguard IRA					
		None	None		<input checked="" type="checkbox"/>

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Athene Annuity and Life Company [FN]					
DESCRIPTION: Rollover into Wells Fargo IRA					
California State Teachers Retirement System [OT]					
LOCATION: US DESCRIPTION: retirement buy-out					
Lincoln Financial Group ⇒ 403B- Delaware VIP REIT [RE]					
DESCRIPTION: Rollover into Wells Fargo IRA					
Lincoln Financial Group ⇒ 403B--Delaware VIP Diversified Income [IR]					
DESCRIPTION: Rollover into Wells Fargo IRA					
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Fixed [FN]					
DESCRIPTION: Cash-in of annuity inherited from mother					
Lincoln Financial Group ⇒ Annuity Amer Legacy US--Large Blend-Growth Income Fund [VA]					
DESCRIPTION: Cash-in from annuity inherited from mother					
LSW-Life Insurance Co of the Southwest 403B [IR]					
DESCRIPTION: Rollover into Wells Fargo IRA					
TD Ameritrade Investment Account ⇒ FXE JUN 15 115 PUT [OT]					

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ FXE MAY 18 116 PUT [OT]		05/16/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ OPTION ASSIGNMENT CLOSE [OT]		12/20/2018	P	\$500,001 - \$1,000,000	
LOCATION: US DESCRIPTION: OPTION ASSGINEMENT CLOSE					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2650 CALL [OT]		01/4/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2650 CALL [OT]		01/4/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2725 CALL [OT]		01/4/2018	S	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2725 CALL [OT]		01/9/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2750 CALL [OT]		01/9/2018	S	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2750 CALL [OT]		01/12/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2775 CALL [OT]		01/12/2018	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JAN 31 2775 CALL [OT]		01/16/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX JUN 29 2700 CALL [OT]		01/16/2018	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ SPX MAR 12 2300 PUT [OT]		03/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPX MAR 16 2150 PUT [OT]		02/5/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ SPX MAR 16 2350 PUT [OT]		02/5/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT 116.5 CALL [OT]		12/6/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT APR 20 123 CALL [OT]		03/29/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT APR 27 2018 118.5 PUT [OT]		04/26/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT APR 27 2018 119 PUT [OT]		04/23/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT APR 6 122 CALL [OT]		03/29/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT AUG 24 122 CALL [OT]		08/23/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 116.5 CALL [OT]		12/6/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 14 117 CALL [OT]		12/11/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 14 117.5 CALL [OT]		12/12/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 14 118 CALL [OT]		12/17/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 28 117.5 CALL [OT]		12/26/2018	S	\$1,001 - \$15,000	■
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 28 118 CALL [OT]		12/4/2018	S	\$1,001 - \$15,000	■
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 28 118 CALL [OT]		12/26/2018	P	\$1,001 - \$15,000	






Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 28 119.5 PUT [OT]		12/21/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT DEC 7 110 CALL [OT]		12/6/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 7 116 CALL [OT]		12/4/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT DEC 7 116.5 CALL [OT]		12/6/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT FEB 16 116 PUT [OT]		02/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 16 118 PUT [OT]		02/14/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 2 120 PUT [OT]		02/2/2018	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 23 117.5 [OT]		02/22/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 23 117.5 PUT [OT]		02/9/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 9 119 PUT [OT]		02/7/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 9 119 PUT [OT]		02/9/2018	P	\$15,001 - \$50,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 9 119.5 PUT [OT]		02/8/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT FEB 9 PUT [OT]		02/7/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT JAN 11 119 CALL [OT]		12/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 11 119 CALL [OT]		12/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 25 119.5 CALL [OT]		11/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 4 117.5 CALL [OT]		12/11/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 4 118 CALL [OT]		12/12/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JAN 4 118.5 CALL [OT]		12/17/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUN 1 121.5 CALL [OT]		06/1/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUN 8 120 CALL [OT]		05/29/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT JUN 8 120.5 CALL [OT]		05/29/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT MAR 02 118 PUT [OT]		02/8/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT MAR 2 116.5 PUT [OT]		02/14/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT MAR 9 116 PUT [OT]		02/22/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT MAY 11 2018 117 PUT [OT]		04/26/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT MAY 18 2018 117 PUT [OT]		04/26/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 111 PUT [OT]		11/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 113 PUT [OT]		11/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 113.5 PUT [OT]		11/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 113.5 PUT [OT]		11/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 16 113.5 PUT [OT]		11/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 112.5 PUT [OT]		10/19/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 113.5 PUT [OT]		10/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 113.5 PUT [OT]		11/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 114 PUT [OT]		11/2/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 114 PUT [OT]		11/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 114 PUT [OT]		11/2/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 2 114 PUT [OT]		11/5/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 23 113 PUT [OT]		11/8/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 23 113.5 PUT [OT]		11/7/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 9 113.5 PUT [OT]		11/8/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 9 113.5 PUT [OT]		11/8/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 9 114 PUT [OT]		10/23/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT NOV 9 114 PUT [OT]		11/7/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 12 114.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/10/2018	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 12 116 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/9/2018	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 12 117 PUT [OT] LOCATION: US DESCRIPTION: PUT		09/19/2018	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 12 117 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/4/2018	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 12 117 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/8/2018	P	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 19 116 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/4/2018	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 26 114.5 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/4/2018	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 26 115 PUT [OT] LOCATION: US DESCRIPTION: PUT		10/4/2018	S	\$1,001 - \$15,000	
TD Ameritrade Investment Account ⇒ TLT OCT 26 115 PUT [OT]		10/8/2018	S	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 5 115.5 PUT [OT]		10/4/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OCT 5 116 PUT [OT]		10/4/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
TD Ameritrade Investment Account ⇒ TLT OPTION ASSIGNMENT [OT]		12/18/2018	S	\$500,001 - \$1,000,000	<input type="checkbox"/>
LOCATION: US DESCRIPTION: OPTION ASSIGNMENT					
TD Ameritrade Investment Account ⇒ TLT SEP 14 123 CALL [OT]		08/23/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
LOCATION: US DESCRIPTION: CALL					
TD Ameritrade Investment Account ⇒ TLT SEP 21 118 PUT [OT]		09/19/2018	P	\$1,001 - \$15,000	
LOCATION: US DESCRIPTION: PUT					
Vanguard IRA ⇒ Long Term Bond Index VBLTX [IR]		10/10/2018	P	\$15,001 - \$50,000	
DESCRIPTION: rollover from Wells Fargo IRA					
Vanguard IRA ⇒ Total International Bond Index VTABX [IR]		10/10/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Rollover from Wells Fargo IRA					
Vanguard Personal Investment Account ⇒ Allstate Corporation (ALL) [ST]		10/10/2018	S (partial)	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ American Airlines Group, Inc. (AAL) [ST]		10/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ CA Intermediate Term Bond Tax-Exempt Mutual Fund VCADX [MF]		10/24/2018	S (partial)	\$1,001 - \$15,000	<input checked="" type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard Personal Investment Account ⇒ CA Intermediate Term Tax Exempt Fund VCADX [MF]		10/10/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ CA Intermediate Term Tax Exempt Fund VCADX [MF]		10/24/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Discover Financial Services (DFS) [ST]		10/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Extended Market Index VEXAX [MF]		10/10/2018	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Extended Market Index VEXAX [MF]		10/24/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ FTSE All-World Ex-US Index VFWAX [MF]		11/30/2018	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Growth Index Fund (brokerage acct) VIGAX [MF]		10/11/2018	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Growth Index Fund (individual acct) VIGAX [MF]		12/19/2018	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ International Business Machines Corporation (IBM) [ST]		10/10/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Invesco S&P Global Water Index ETF (CGW) [EF]		10/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Ishares NASDAQ Biotechnology ETF (IBB) [EF]		10/10/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Morgan Stanley (MS) [ST]		10/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Prime Money Market VMMXX [MF]		10/24/2018	P	\$15,001 - \$50,000	
Vanguard Personal Investment Account ⇒ Prime Money Market VMMXX [MF]		11/13/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒		12/18/2018	P	\$15,001 - \$50,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Prime Money Market VMMXX [MF]					
Vanguard Personal Investment Account ⇒ Prime Money Market VMMXX [MF]		12/19/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Sector Technology Select Sector SPDR (XLK) [MF]		10/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Short Term Bond Index VBIRX [MF]		10/10/2018	P	\$50,001 - \$100,000	
Vanguard Personal Investment Account ⇒ Short Term Bond Index VBIRX [MF]		10/24/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ SPDR S&P Global Natural Resources ETF (GNR) [EF]		10/10/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Stock Index (brokerage acct) VTIAX [MF]		10/10/2018	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Total International Stock Index (individual acct) VTIAX [MF]		11/30/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Total International Stock Index (individual acct) VTIAX [MF]		10/11/2018	P	\$100,001 - \$250,000	
Vanguard Personal Investment Account ⇒ Vaneck Vectors Agribusiness ETF (MOO) [EF]		10/10/2018	S	\$1,001 - \$15,000	<input checked="" type="checkbox"/>
Vanguard Personal Investment Account ⇒ Vanguard Materials ETF (VAW) [EF]		10/10/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard Personal Investment Account ⇒ Windsor II Fund VWNAX [MF]		10/10/2018	S (partial)	\$250,001 - \$500,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ American Funds Washington Mutual Investors WMFFX [MF]		10/2/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒		10/2/2018	P	\$15,001 - \$50,000	



Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Extended Market Index VEXAX [MF]					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Extended Market Index VEXAX [MF]		10/10/2018	E	\$15,001 - \$50,000	
DESCRIPTION: To JM Chu Irrevocable Trust II- managed					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Ishares MSCI AFE ETF (EFA) [EF]		10/2/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Limited Term Tax Exempt VMLUX [MF]		10/2/2018	P	\$50,001 - \$100,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Limited Term Tax Exempt VMLUX [MF]		10/10/2018	E	\$50,001 - \$100,000	
DESCRIPTION: To JM Chu Irrevocable Trust II- managed					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Parnassus Mid Cap Instl Cl PPFMX [MF]		10/2/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index VTIAIX [MF]		10/2/2018	P	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index VTIAIX [MF]		10/10/2018	E	\$100,001 - \$250,000	
DESCRIPTION: To JM Chu Irrevocable Trust II- Managed					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index VTIAIX [MF]		10/3/2018	P	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Total International Stock Index VTIAIX [MF]		10/10/2018	E	\$100,001 - \$250,000	
DESCRIPTION: To JM Chu Irrevocable Trust II- managed					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Vaneck Vectors Global Alternative Energy ETF GEX [EF]		10/2/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed ⇒ Vanguard Global Ex US Real Estate Fund ETF (VNQI) [EF]		10/2/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ American Funds Capital Income Builder CAIFX [MF]		10/2/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Emerging Markets Stock Index VEMAX [MF]		10/2/2018	P	\$1,001 - \$15,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Fidelity Concord Ser Fidelity 500 Index Fd Institutional Cl FXSIX [MF]		08/20/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ FTSE All-World Ex-US Index VFWAX [MF]		11/30/2018	P	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ FTSE All-World Ex-US Index VFWAX [MF]		12/4/2018	P	\$50,001 - \$100,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Intermediate Term Tax Exempt VMIUX [MF]		10/24/2018	S (partial)	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Intermediate Term Tax Exempt VWIUX [MF]		10/3/2018	P	\$100,001 - \$250,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ International Explorer VINEX [MF]		10/2/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Limited Term Tax Exempt VMLUX [MF]		10/2/2018	P	\$15,001 - \$50,000	
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Limited Term Tax Exempt VMLUX [MF]		10/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒		10/2/2018	P	\$100,001 - \$250,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Long Term Tax Exempt VWLUX [MF]					
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Long Term Tax Exempt VWLUX [MF]		10/24/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Schwab Fundamental International Large Company Index SFNNX [MF]		11/30/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ T Rowe Price Dividend Growth PRDGX [MF]		10/2/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed ⇒ Total International Stock Index VTIAX [MF]		11/30/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
Wells Fargo Advisors ⇒ Invesco Tr II ETF S&P Global Water Index CGW [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Invesco Tr II ETF S&P Global Water Index CGW [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Invesco Tr II ETF S&P Global Water Index CGW [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Invesco Tr II ETF S&P Global Water Index CGW [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Invesco Tr II ETF S&P Global Water Index CGW [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Invesco TR II ETF S&P Global Water Index CGW [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ iShares NASDAQ Biotechnology IBB [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ iShares NASDAQ ETF Biotechnology IBB [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ iShares NASDAQ ETF Biotechnology IBB [EF]		06/1/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors ⇒ Ishares NASDAQ ETF Biotechnology IBB [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ IShares NASDAQ ETF Biotechnology IBB [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ IShares NASDAQ ETF Biotechnology IBB [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Sector SPDR TR ETF Technology Select Sector XLK [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ SPDR S&P ETF Natural Resources GNR [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ SPDR S&P Global ETF Natural Resources GNR [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ SPDR S&P Global ETF Natural Resources GNR [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ SPDR S&P Global ETF Natural Resources GNR [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ SPDR S&P Global ETF Natural Resources GNR [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒		06/15/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
SPDR S&P Global ETF Natural Resources GNR [EF]					
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanceck Vectos TR Agribusiness ETF MOO [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Vanguard Materials ETF VAW [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors ⇒ Wells Fargo IRA [IR]		05/7/2018	P	\$15,001 - \$50,000	
DESCRIPTION: Rollover from Athene Annuity and Life Company					
Wells Fargo Advisors ⇒ Wells Fargo IRA [IR]		04/2/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
DESCRIPTION: rollover from Zurich Advantage III 403B Large Cap US Stock DWS Gap Growth VA, 403B Misc Fixed DWS High Income VIP, 403B Balanced DWS Global Income Builder					
Wells Fargo Advisors ⇒ Wells Fargo IRA [IR]		04/19/2018	P	\$1,001 - \$15,000	
DESCRIPTION: rollover from Lincoln Financial Group 403B Delaware VIP REIT and 403B Delaware VIP Diversified Income					
Wells Fargo Advisors ⇒ Wells Fargo IRA [IR]		04/25/2018	P	\$1,001 - \$15,000	
DESCRIPTION: Rollover from LSW-Life Insurance Co of the Southwest 403B					
Wells Fargo Advisors ⇒ Wells Fargo IRA [IR]		08/27/2018	S	\$50,001 - \$100,000	
DESCRIPTION: Rollover into Vanguard IRA					
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Cap World Growth & Income Class F2 WGIFX [MF]		04/20/2018	S	\$15,001 - \$50,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Capital Income Builder Fund Class F2 CAIFX [MF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ American Funds Washington Mutual FD F2 WMFFX [MF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Fund CEYIX [MF]		04/6/2018	P	\$1,001 - \$15,000	

Asset	Owner Date	Tx. Type	Amount	Cap. Gains > \$200?
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Fund CEYIX [MF]	05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Fund CEYIX [MF]	05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Fund CEYIX [MF]	06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Funds CEYIX [MF]	04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Funds CEYIX [MF]	06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Calvert Equity Funds CEYIX [MF]	08/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]	05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]	05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]	06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]	06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund DOMOX [MF]	08/20/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund Instl DOMOX [MF]	04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Domini Impact Intl Equity Fund Instl DOMOX [MF]	04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Fidelity Concord Ser Fidelity 500 Index FD Institutional CL FXSIX [MF]	04/20/2018	S (partial)	\$1,001 - \$15,000	<input type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒	04/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Index IQ Tr-IQ ETF Hedge MFCE (QAI) [EF]					
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ iShares ETF Russell 1000 Growth IWF [EF]		04/20/2018	P	\$15,001 - \$50,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ iShares ETF Russell 1000 Value (IWD) [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ iShares MSCI EAFE in ETF (EFA) [EF]		04/20/2018	P	\$15,001 - \$50,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ iShares Russell ETF Midcap IWR [EF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Clas SHS I PFPMX [MF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Class SHS I PFPMX [MF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Class SHS I PFPMX [MF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Class SHS I PFPMX [MF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Class SHS I PFPMX [MF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Parnassus Midcap Fund Institutional Class SHS I PFPMX [MF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ SPDR Dow Jones ETF Global Real Estate (RWO) [EF]		04/20/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vaneck Vectors ETF Global Alternative Energy GEX [EF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒		04/20/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Vaneck Vectors ETF Global Alternative Energy GEX [EF]					
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vaneck Vectors ETF Global Alternative Energy GEX [EF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vaneck Vectors ETF Global Alternative Energy GEX [EF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vaneck Vectors ETF Global Alternative Energy GEX [EF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vaneck Vectors ETF Global Alternative Energy GEX [EF]		06/15/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard Global ETF Ex-US Real Estate VNQI [EF]		04/20/2018	P	\$15,001 - \$50,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard Whitehall FDS Intl Explorer FD Invs SH VINEX [MF]		04/23/2018	P	\$15,001 - \$50,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		04/6/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		04/20/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		05/4/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		05/18/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		06/1/2018	P	\$1,001 - \$15,000	
Wells Fargo Advisors-JM Chu Irrevocable Trust II ⇒ Vanguard World FD FTSE Social Index FD VFTSX [MF]		06/15/2018	P	\$1,001 - \$15,000	
Zurich Advantage III ⇒ 403B--Balanced- DWS Global Income Builder VIP [IR] DESCRIPTION: Rollover into Wells Fargo IRA		04/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Zurich Advantage III ⇒		04/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
403B--Large Cap U.S. Stock-DWS Cap Growth VA [IR]					
DESCRIPTION: Rollover into Wells Fargo IRA					
Zurich Advantage III ⇒ 403B--Misc. Fixed-DWS High Income VIP [IR]		04/2/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
DESCRIPTION: Rollover into Wells Fargo IRA					

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount
California State Teachers Retirement Income	spouse retirement buyout	N/A
State of California- Cal State LA	spouse salary	N/A
Alhambra Hospital Medical Center	spouse compensation for board attendance	N/A
California State Teachers Retirement Income	Defined Benefit	\$31,774.00
California Public Employees Retirement System	Defined Benefit	\$22,366.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
	BB&T	May 2010	Mortgage on personal residence, Washington DC (not rented)	\$50,001 - \$100,000
	Capitol Hill Tower Housing Cooperative	May 2010	Mortgage on underlying property of personal residence, Washington DC (not rented)	\$100,001 - \$250,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Board Member (uncompensated)	Asian Pacific American Institute for Congressional Studies
Board Member (uncompensated)	APAICS Leadership Network

SCHEDULE F: AGREEMENTS

Date	Parties To	Terms of Agreement
May 2001	Myself and California State Teachers Retirement System	Defined pension benefit plan for teaching position in the LA Community College District
May 2001	Myself and California Public Employees Retirement System	Defined pension benefit plan for service on Monterey Park City Council

SCHEDULE G: GIFTS

None disclosed.

SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

SCHEDULE A AND B ASSET CLASS DETAILS

<ul style="list-style-type: none">◦ Chu-Lim Investments LLC LOCATION: US◦ Lincoln Financial Group◦ Savings Plus◦ TD Ameritrade Investment Account LOCATION: US◦ Vanguard IRA◦ Vanguard Personal Investment Account LOCATION: US◦ Vanguard-JM Chu Irrevocable Trust II◦ Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II- self-managed LOCATION: US◦ Vanguard-JM Chu Irrevocable Trust II ⇒ Vanguard-JM Chu Irrevocable Trust II-managed LOCATION: US◦ Wells Fargo Advisors LOCATION: US◦ Wells Fargo Advisors-JM Chu Irrevocable Trust II LOCATION: US◦ Zurich Advantage III
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EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Judy Chu , 05/15/2019